

# UK Care Standards for the Management of Patients with Microtia and Atresia

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**BAPA – British Association of Paediatricians in Audiology** ([bapa.uk.com](http://bapa.uk.com))



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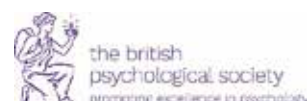
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## **SECTION 1**

### **Executive Summary**

Patients born with Microtia and aural Atresia have a complex craniofacial condition that may impact on all aspects of their lives. It is essential that these patients and their families have access to specialised Microtia teams able to provide up to date and unbiased information. A multidisciplinary approach should be taken to provide holistic, individualised assessments and interventions. This should encompass cosmetic, audiological and psychological aspects of their care.

Close liaison between local services and the ear reconstruction team is a key component to achieving the best outcomes for these patients. There is a recognised need for agreed care standards for these patients, and this document aims to reflect a consensus view of how this care can be provided in an integrated fashion. It has been produced by a collaboration of professionals working in the field and with stakeholder organisations.

The document has been reviewed and updated in light of new evidence and developments in the field.

## **MICROTIA AND ATRESIA – THE CARE OF PATIENTS IN THE UK**

### **KEY POINTS**

- Children with congenital Microtia and Atresia should be referred at the earliest opportunity to clinicians with appropriate professional expertise and knowledge of these conditions.
- Complex aspects of Microtia and Atresia care should be offered by specialised multidisciplinary teams.
- The specialist team should work in close collaboration with local teams and professionals supporting families.
- Regular review within a multidisciplinary setting offers the patient and family holistic assessment and management.
- The multidisciplinary team should consider audiological, psychological and reconstructive aspects of care in an individualised manner.
- Emotional and social support for patients and their families is important from the beginning and information about support groups and organisations should be provided.
- Patients should be offered a point of contact for ease of access to the service. This may be a specialist nurse or other appropriately trained individual.
- It should be recognised that unilateral Atresia and associated hearing loss may have an impact on a child's development, and that the child's progress and hearing should be closely monitored.
- For hearing restoration options may include: educational support, conventional air conduction hearing aids, bone conduction hearing aids, and implantable hearing aid devices.
- Patients should be offered all appropriate reconstructive options for both the external ear and auditory restoration.
- For external ear reconstruction options include: no intervention, reconstruction with rib cartilage, reconstruction with a subcutaneous prosthesis or an external moulded prosthesis.

- Patients and families should be supported in an unbiased manner in making informed decisions about which, if any, treatments are most appropriate for them.
- Patients and families should be able to access services at any age. For example, if a decision is made not to intervene as a child this should not preclude the same patient being offered intervention as an adult.
- Centres should be nationally designated and centrally funded.
- Specialist centres should undertake sufficient numbers of cases annually to be able to maintain and audit acceptable results. Surgeons should perform a minimum of 20 ear reconstructions per year with 10 of those being 'total' reconstructions for Microtia.
- Specialist units should work on a hub and spoke basis in close collaboration with local teams to provide outpatient care in a patient convenient location.
- Centres should be embedded within established reconstructive surgery units and should offer ear reconstruction for acquired as well as congenital conditions.
- All processes should be subject to local clinical governance standards and policies.
- Outcome measures should be routinely audited and reviewed using standardised agreed national measures.
- Regular UK national audit meetings should take place to review outcomes and to share best practice.
- Surgeons embarking on a career in Microtia should be able to demonstrate a significant period of training devoted to acquisition of the necessary skills in a recognised centre. Certification of competence in all the techniques they offer should be evidenced in an appropriate manner.
- In the early period of practice, surgeons should enter a period of mentorship with a recognised expert. Centres offering such surgery should appoint consultants on a proleptic basis to facilitate this arrangement.

## **SECTION 2 – INTRODUCTION AND BACKGROUND**

The UK health community has, since the inception of the NHS, been driven in its collective desire to improve standards of care in all arenas. Developments and improvements have often been related to improved understanding, new accumulating evidence and the development of new treatments and technologies. Arguably some of the greatest improvements in healthcare have resulted from evaluating services and understanding the current shape of care, and how this manifests for individual patients in their experiences and in their outcomes.

In the field of reconstructive surgery for congenital difference, the most striking example of such an evaluation came in the field of cleft lip and palate care. The UK had numerous small units providing variable levels of care with variable outcomes. Direct comparison with international units was unfavourable and there was general acceptance that standards of care and outcomes had to be improved.<sup>1</sup>

Patients born with Microtia and Atresia have by definition complex craniofacial deformations. The impact of this on all aspects of their life can be significant. Their need for information, support and, in many cases intervention, to restore form and function is considerable. Some of the interventions are highly complex and the outcomes are significantly dependent upon the quality of care that they are offered. This document was first produced in 2015 as the result of national collaboration between interested parties to examine the current shape and structure of care. It is a coordinated attempt to define how that care should best be provided, and to suggest outcome measures which could be collated by all concerned. It has been commissioned by, and sanctioned by, stake-holding associations and organisations with an interest in the field.

### **2.1 DEFINITIONS**

The deformation in Microtia can vary in its severity from an ear that is virtually absent to an ear that is perfectly formed but smaller than its fellow. The

incidence is estimated at around 1 in 6,000 live births but varies between ethnic groups. In 90 percent of Microtia cases, only one side is involved, with twice as many on the right sided compared to the left. Microtia affects boys in 65 percent of cases and girls in 35 percent. Microtia is frequently associated with Atresia and can also be associated with more complex craniofacial conditions such as craniofacial microsomia and Treacher Collin's syndrome.

Aural Atresia describes failure of development of the external auditory canal and is present in 80% of patients with Microtia. Aural Atresia arises embryologically from abnormal development of the 1<sup>st</sup> and 2<sup>nd</sup> branchial arches and branchial cleft, and ranges in severity from a patent auditory meatus with a "blind-ending" auditory canal, to complete absence of development of the meatus and canal with associated abnormal development, or absence of the middle ear structures. Incomplete atresia, with stenosis of the canal, is seen in a proportion of patients. Congenital ossicular deformity is common. Aural Atresia results in conductive hearing loss, with normal inner ear function (as the inner ear has a different embryological origin, it is normally developed in the majority of cases), as indicated by normal masked bone conduction thresholds in over 90% of cases.<sup>2</sup>

## **2.2 HISTORY OF EAR RECONSTRUCTION**

The history of external ear reconstruction dates back to India and the Susruta Samhit, and in Europe to 1597 when the Italian surgeon Tagliacozzi described and illustrated repair of the upper and lower ear using skin flaps. Various methods to achieve total ear reconstruction have been attempted including the use of maternal rib cartilage by Gillies in 1920 and the use of diced cartilage buried in a metallic mould by Young and Peer in 1948.

[\(http://www.Microtia.us.com/\)](http://www.Microtia.us.com/)

However, the modern era of reconstructive ear surgery essentially began when Tanzer published his 6-stage technique using autologous costal cartilage in 1959.<sup>3-8</sup> His ideas were developed and refined significantly by Brent who was the first to demonstrate consistent, satisfactory and reproducible results in large patient numbers (published between 1973 and



2011). Brent relied on costal cartilage as the primary building block for his ear frameworks and reduced the number of stages to between 3 and 4.<sup>9-16</sup>

Through the 1980's and 1990's the work of Nagata<sup>17-23</sup> in Tokyo, and Firmin<sup>24</sup> in Paris, has significantly enhanced and improved techniques using costal cartilage to produce ever more convincing ears in two surgical stages.

The use of synthetic implants as the framework for ear reconstruction was first advocated by Cronin<sup>25-27</sup> in 1968. The idea was taken up but complication levels led to a general abandonment of that implant. More recently a porous polyethylene implant has been produced, and reports satisfactory outcomes when this is routinely covered by a temporoparietal fascial flap<sup>28-32</sup>. Concern exists in the UK and internationally regarding extrusion and fracture rates.

An ear prosthesis is an alternative option for restoration of form. Accounts of prostheses to replace the ear date back several centuries. Today, aural prostheses are made of medical grade silicone rubber and the shape and colour are customized for each patient. Ear prostheses can be retained with skin adhesives. While adhesives provided a means of retention, they have several problems: the application of the adhesive may be messy and time-consuming; the edges of the prosthesis will often need thickened or reinforced with fabric to prevent tearing of the silicon that may occur as a result of daily cleaning, which will detract from its natural appearance; and the adhesive may cause skin irritation, particularly in those patients who have undergone radiation therapy.

Branemark (1985) a Swedish orthopaedic surgeon defined "Osseo integration" as a direct structural and functional connection between ordered living bone and the surface of a load-carrying implant. This phenomenon was based on his bone healing research begun in the 1950s. In 1965, the principles of osseo integration were applied to dental implants and this procedure has been widely recognized as safe and effective. Implant retention offers several advantages over skin adhesives, for example there is less wear and tear on the prosthesis and daily cleaning is faster and easier. Both of

these factors contribute to the extended life of the prosthesis. Additionally, adhesive-related skin irritation is eliminated and implants provide more reliable retention of the prosthesis. Precise placement of the prosthesis is assured as the retentive elements automatically guide the prosthesis to its correct position. Implant-retained auricular prostheses have been used successfully in cases where the pinna is missing.<sup>33-42</sup>

Consideration of surgery for canal Atresia was traditionally based on the Jahrsdoerfer scale<sup>43</sup> which scores the affected ear depending on presence or absence of structures in the middle ear and aeration of the mastoids. Patients scoring greater than 6 would be considered eligible for surgery. This challenging surgery, often combined with auricular reconstruction, involves drilling a new ear canal risking damage to the existing hearing and the facial nerve and so should only be performed by experienced otologists. Additionally, there is an increased risk of cholesteatoma with canal Atresia.

Short term hearing results are good in patients with a score greater than 7 with 85-90% chance of achieving normal or near-normal hearing (as defined by an SRT  $\leq$  30 dB HL) but patients with lower Jahrsdoerfer scores had only a 45-50% chance of achieving this result.<sup>44</sup> Long term results are poorer, with reported a long-term ( $\geq$  6 months) air-bone gap (ABG) of 30 dB or less in 51% of primary cases and 39% of revisions.<sup>45</sup> As a result most UK Otologists are moving towards hearing implants.

### **SECTION 3 - IMPACT UPON PATIENTS AND FAMILIES**

#### **3.1 EVIDENCE BASE FOR IMPACT OF MICROTIA AND ATRESIA ON DAY TO DAY HEARING**

##### **1. UNILATERAL ATRESIA**

###### **1.1 Impact on listening**

Lab-based tests of listening in noise with children with unilateral hearing loss and normally-hearing children suggest that children with unilateral hearing loss perform poorly when speech and conflicting noise are presented to each ear<sup>46</sup>, which could therefore translate to listening difficulties in noisy places

such as the classroom. Evidence suggests that right-ear hearing impaired children perform worse than left-ear hearing impaired children<sup>46-48</sup>.

## **1.2 Communication and Academic performance**

Studies of children with unilateral sensorineural hearing loss, as opposed to conductive hearing loss most commonly seen in congenital Atresia, report that without treatment, up to 35% need to repeat a grade at school<sup>47, 48</sup>. A retrospective study of children with unilateral congenital aural Atresia found none needed to repeat a grade at school but 65% required extra resources with 47.5% requiring an individualised education plan and 20% requiring tutoring assistance. The same retrospective study found 72.5% had communication problems with 45% requiring speech therapy<sup>49</sup>.

## **1.4 Intervention options**

It is important to explain to the parents at the first clinic visit that there is a 2 in 3 chance their child will require extra resources as outlined in section 1.2 and they should be offered a trial with an appropriate hearing device.

Unfortunately, there are no validated outcome measures to assess the overall benefit in a young child, and by the time the child is old enough the critical time window for maximum development of true binaural processes may have been lost. Research on early intervention for sensorineural hearing loss attests that early intervention with hearing aids is crucial to maximise both auditory and linguistic development in infants. For example, there is evidence that without access to speech sounds, children with hearing loss will not keep pace with their normally hearing peers in communication, cognition, social/emotional development and reading<sup>50,51</sup>. The goal should be that unilateral or bilateral Microtia / Atresia does not have a detrimental impact on the development of the infant compared to normally hearing peers.

Therefore, there is a need to discuss with families whether to intervene as soon as possible to maximise acceptance of hearing aid and stimulation of a working cochlea, or whether to wait until potential problems arise, such as delay in speech development, or poor academic performance, and then provide amplification as an option.

## 2. BILATERAL ATRESIA

Children with bilateral Atresia will need some form of auditory stimulation via bone-conduction to access speech at a normal level. With this group of children, the decision is whether one bone conduction hearing device is adequate or whether a bilateral fitting is more appropriate. As we are aware, there is little to no transcranial attenuation of bone-conducted sound, although this has been shown to vary between patients. It is also frequency dependent, with higher frequencies demonstrating slightly more attenuation than lower frequencies<sup>52</sup>. Therefore, we could expect that with one bone conduction hearing device, given that we have determined that both cochleae have hearing function within normal limits, both cochleae would receive some stimulation from this device, although this may not be equal. However, the positioning of the device, which conventionally is on the mastoid, means that sounds arriving from the environment on the contralateral side will be blocked by the head. This can either introduce a slight delay in processing or a reduction in level caused by the head shadow. This would suggest it may be beneficial to have a device on both sides so that the wearer has bilateral input.

Evidence for bilateral bone conduction hearing implants is very limited. A study investigating the effect of adding a contralateral bone conduction hearing implant to patients who had had a unilateral bone conduction hearing implant for many years found that  $\frac{3}{4}$  of patients showed significant improvement on a localisation test with bilateral bone conduction hearing implants but speech recognition in noise was unchanged<sup>53</sup>. This study had only 4 participants. A second study again followed similar principles of providing a second bone conduction hearing implant later in life, but demonstrated a significant improvement in scores of speech recognition in both quiet and in noise<sup>54</sup>. Authors from a UK centre have shown marginal improvement in listening to speech in noise when fitted bilaterally over unilaterally<sup>55</sup>.

All of these studies have used patients who had a long period of usage of a unilateral bone conduction hearing implant before being fitted with a second

contralateral bone conduction hearing implant. This could have significant impact on trying to generalise the findings to infants who we may consider providing with two bone conduction hearing devices from initial diagnosis. We may expect that the participants in the studies could have suffered a degree of auditory deprivation of the unaided ear, although with previous mention of transcranial transmission this may not entirely be the case. Certainly they will not have had true bilateral amplification previously, therefore the brain's ability to realise binaural cues may be impaired or limited.

### **3.2 EVIDENCE FOR PSYCHOSOCIAL IMPACT OF MICROTIA AND ATRESIA**

There are few studies exploring the psychosocial impact of Microtia and Atresia but there is some evidence of emotional distress as a result of these conditions.

#### **1. ATRESIA**

A small scale study by the National Ear Reconstruction Service in Scotland (2013, unpublished) investigated parental desire for children with unilateral or bilateral hearing loss to access surgical hearing implants. Parents of 11 children (age 2-12 years old) attending the Microtia clinic were interviewed. Responses indicated that all parents felt their child's hearing could be improved and would consider surgical hearing implants for their children. 27% felt improved hearing to be of greatest importance and 73% felt improved hearing and ear reconstruction to be of equal importance, indicating the importance of the appearance of the ear as well as its function. A more recent evaluation of outcome measures in Microtia treatment found that the only factor that correlated with improved patient and parent-reported psychosocial outcomes was treatment of hearing loss<sup>56</sup>.

#### **2. MICROTIA**

The natural prominence of the ear allows disfigurements such as that seen in Microtia to be visible, which can have an impact psychosocially on patients and their families. Anecdotal clinic reports include avoidance behaviours such as reluctance to wear hair tied back or have short hair, with some parents

describing their children as isolated, refraining from taking part in exercise or playing with their peers, often due to 'playground teasing'.

The following lists of feelings and behaviours were compiled from the reports of children, young people and adults with Microtia attending clinics of the National Ear Reconstruction Service in Scotland (2013), demonstrating the psychological impact of Microtia. Behaviours included: always wearing hair down, not letting hair get wet, avoiding going to the hairdresser, avoiding going out in windy weather; always wearing a hat or headband, avoiding looking at photographs, avoiding mirrors, avoiding questions about their ear or appearance, avoiding social situations, avoiding physical activities, avoiding special occasions, avoiding school because of teasing, and having altercations because of others reactions or perceptions of these. Feelings reported included: feeling self conscious, ugly, angry, paranoid; lacking confidence; and experiencing low mood and anxiety. Sleep difficulties were also reported. These are all real issues that we hear repeatedly from children, young people and adults with Microtia.

A recent study in the United States found that stressful informing experiences regarding their child's diagnosis with Microtia was linked to parents experiencing multiple negative emotions; and that parental coping was linked to education about aetiology, treatment options, normalisation, and support from family, healthcare providers and Microtia groups<sup>57</sup>.

One study investigating psychological profiles and risk factors in congenital Microtia patients found some evidence of depression, social difficulties and aggression in a sample group of 410 patients aged between 5 and 37 years old (mean age 12.2). Teasing from peers was found to be a risk factor for all three issues, as was the emotional impact on parents, highlighting the influence of the family on the individual<sup>58</sup>.

A study examining psychosocial outcomes among Microtia patients of different ages and genders before ear reconstruction compared the psychological profile of Microtia patients aged 5-50 years old to a control

group. It found significantly more social problems and aggressive behaviour in male Microtia patients aged 8-10 years and 14-16 years, and a significantly higher prevalence of mood disorders in female Microtia patients (aged 17 years and over). A significantly higher prevalence of interpersonal sensitivity, depression and anxiety was found among mothers of children with Microtia. These results suggest that Microtia is a condition that can have adverse psychological effects on patients and their families<sup>59</sup>. It should be noted that both this and the previous research were carried out in China (and few studies overall have been administered in the UK) and therefore cultural differences concerning Microtia may apply.

Several studies have researched the decision to undergo ear reconstructive surgery. One study found that the most frequently selected reason to decline surgery was stress associated with the frequency and length of hospital stays<sup>60</sup>; another study<sup>61</sup> surveyed 78 patients (age range 9-23 years) with unilateral congenital Microtia who had undergone autologous ear reconstruction before age 12 and found the following to be motivational factors for surgery: desire to have identical ears, perceiving their ear to look strange, frequent comments/questions from others, the wish to wear sunglasses, and getting teased. Being teased was found to be a motivational factor for children to proceed with surgery, and dissatisfaction with appearance was the main reason for surgery in adults in a further study<sup>62</sup>. The researchers also found that following ear reconstruction, 74% adults and 91% of children reported improvements in self-confidence, leading to enhanced social life and leisure activities<sup>62</sup>. They concluded auricular reconstruction to be of significant psychosocial benefit to the majority of adults and children. Auricular reconstruction using autologous cartilage in children (aged 9-17 years old) was found to result in significant improvements in health-related quality of life (physical health status, psychological state and social functioning)<sup>63</sup> as measured by the Glasgow Benefit Inventory<sup>64</sup>, with better surgical outcomes leading to greater improvements in these areas.

A study in the United States measured teasing and emotional adjustment before and after ear reconstruction in younger and older children with

Microtia<sup>65</sup>. They found that a third of the younger group (3-5 years old) and all of the older group (6-10 years old) reported pre-operative teasing, and that teasing was correlated with feeling sad, worried and mad about their ears, with higher rates of negative emotions being reported by the older group. The study found that teasing and negative emotions significantly decreased post-operatively, with the older group showing relatively greater change postoperatively, and argue that intervening surgically at an early age can be protective psychologically.

Low self-esteem, depression and anxiety are therefore problems that can occur in children and adults with Microtia, as a result of their Microtia. From both the research carried out thus far and anecdotally, auricular reconstruction has been found to improve overall psychosocial outcomes. It is worth noting that we regularly meet children, young people and adults in Microtia clinics who choose not to proceed with reconstruction, whether that is because they are contented with the appearance of their ears due to personal acceptance or resilience, positive reactions from others, or that they do not wish to undergo surgery. A qualitative study exploring the medical and psychosocial concerns of adolescents and young adults with craniofacial microsomia<sup>66</sup> asked participants to list positive aspects of growing up with a craniofacial condition. Positive changes in personality included being more open-minded, less judgemental, kinder, and more independent. Participants also expressed the belief that they are strong individuals who are able to overcome challenges. When asked what advice they would give younger children growing up with similar conditions, participants said they would advise them to be open and honest and not be ashamed of their visible differences.

Healthcare providers play a key role in individual coping and adjustment, for example, by promoting positive and assertive strategies when dealing with the reactions of others, focusing on personal achievements, and encouraging participation in social and physical activities, which in turn can lead to greater self-acceptance. They also play a key role in supporting parents/carers of children with Microtia in terms of making decisions around whether or not to



proceed with surgical reconstruction, which can feel like a huge responsibility for a parent/carer to make<sup>(additional reference 9)</sup>.

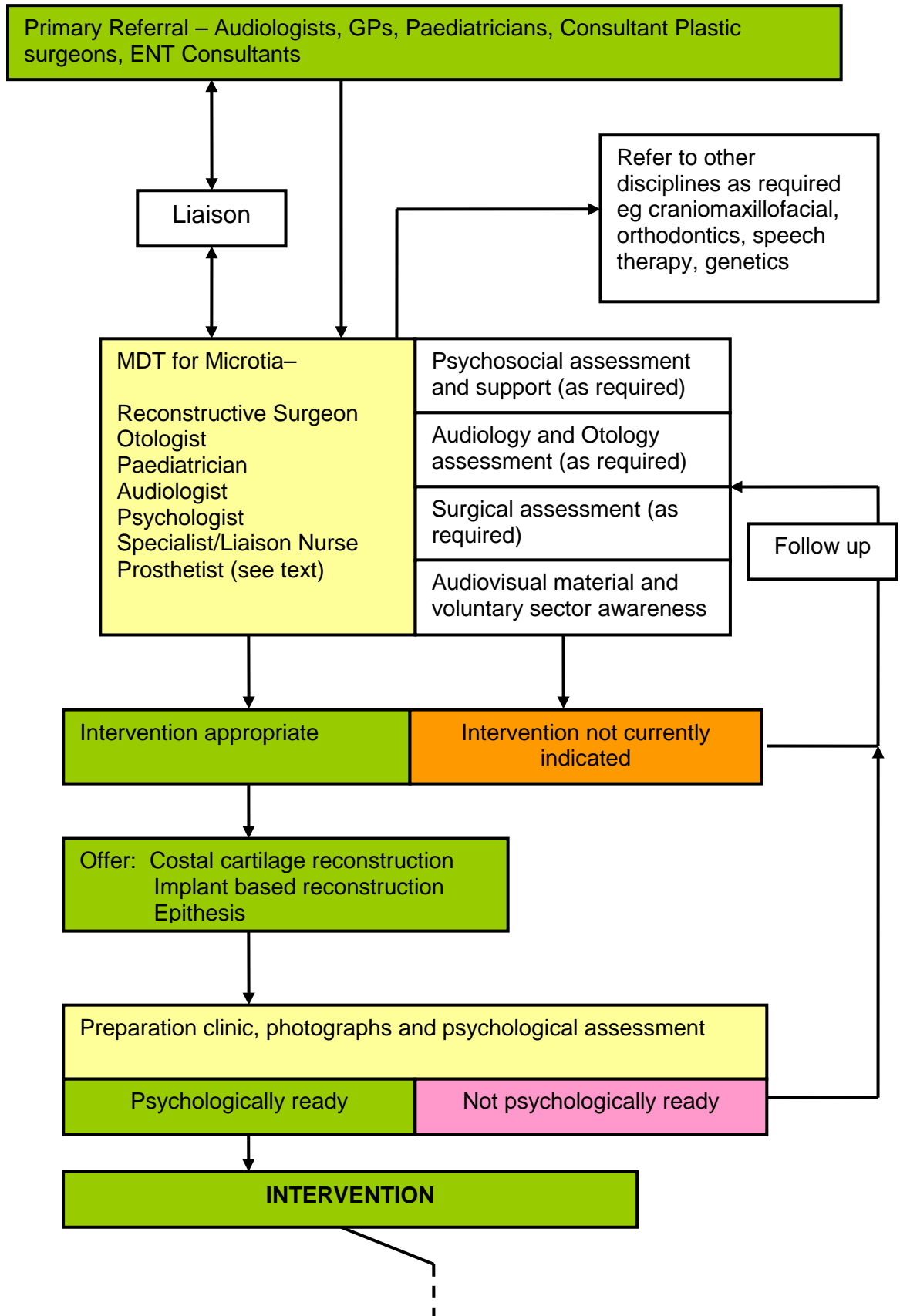
#### **SECTION 4 - CARE PATHWAY**

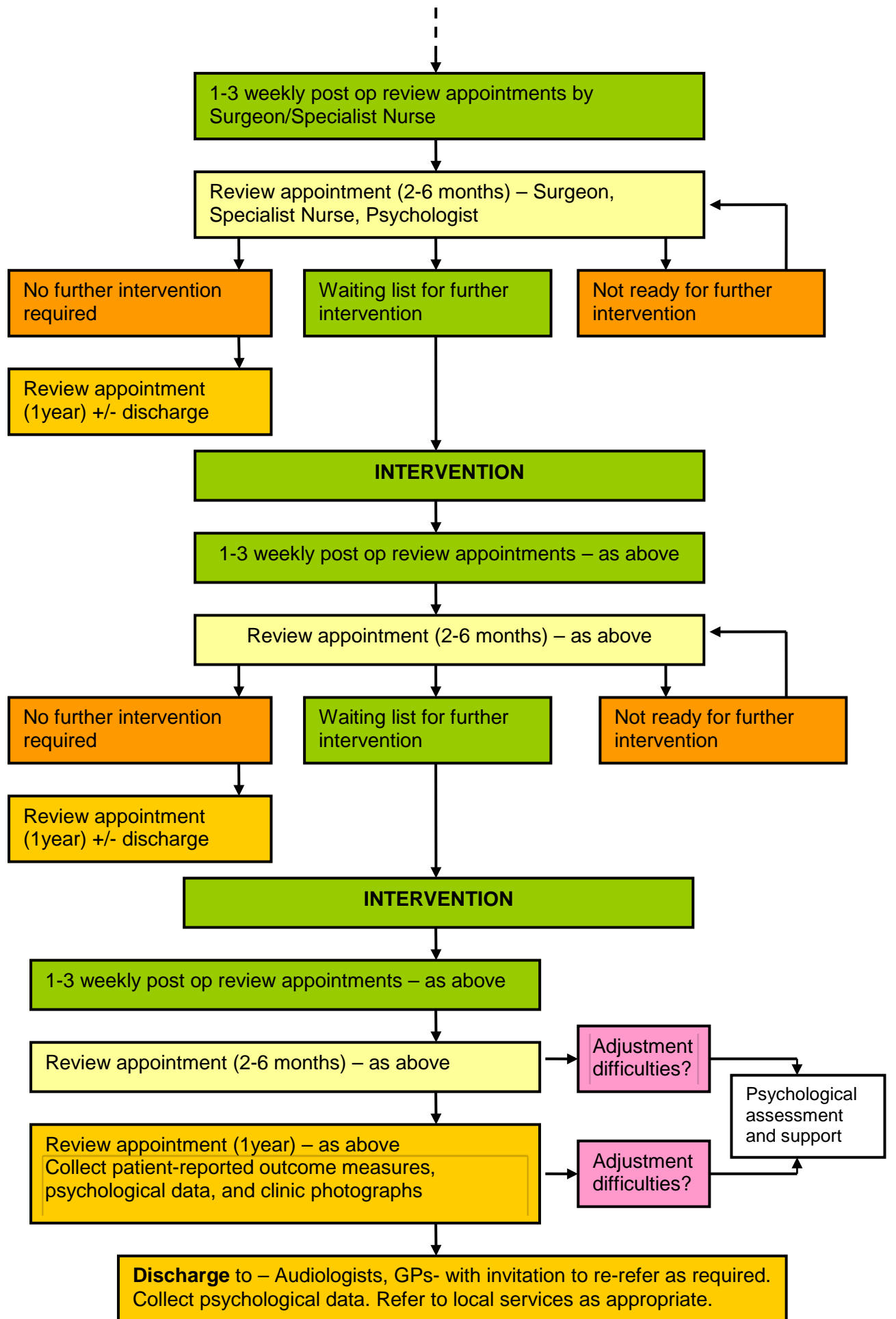
The age at which patients are referred for consideration of intervention for Microtia varies. Below we describe a pathway from an early age. However, some patients may have avoided early referral or may have moved to the UK without prior intervention. All care must, self-evidently, be individual, and age and health appropriate. However, the core care standards are the same regardless of age. Figure 1 demonstrates an idealised pathway for a patient with congenital Microtia, with or without Atresia. Patients should be able to access services regardless of age although clearly age and comorbidities may influence the care offered. If a collective decision is made not to intervene at one point in life this should not preclude future access to services. Patients should be able to access services at any age.

Clearly the assessment process needs to be integrated. The assessment of the patient with Microtia therefore requires comprehensive evaluation of any associated hearing loss, and consideration of appropriate and timely intervention.

Figure 1

## EAR RECONSTRUCTION PATHWAY





## **SECTION 5 – ASSESSMENT**

### **5.1 THE MULTIDISCIPLINARY TEAM**

Ask any parent of a child with Microtia and/or Atresia what could have been better about the initial weeks after the birth of their affected child and the answer will always be “information”: considered, knowledgeable and reliable information delivered by a professional who has a clear understanding of what issues their child might face, what services are available to help them and whom they should contact in the event of issues. Too frequently we meet parents who have in the past been given well-intentioned misinformation. This is not surprising given the relative rarity of these conditions. With an incidence of 1 in 6,000 patients, most midwives, health visitors and general practitioners will rarely meet such patients.

A recent qualitative study carried out in Los Angeles, USA, examined early familial psychosocial experiences with Microtia<sup>57</sup>. Families described the distress they felt about their child’s diagnosis without adequate support and information, sometimes being given inaccurate poor long-term prognoses, as well as having feelings of guilt and responsibility for their child’s condition with concern for their child’s future. Those who were reassured that Microtia was not a serious diagnosis or were familiar with it as a condition reported positive initial responses. Clarification of aetiology is important when familial beliefs contribute to feelings of guilt about the causal factors of Microtia. Parents described their coping as being linked to education about causality and treatment options, and that they benefited from strategies of normalisation and perspective-taking – those who struggled with the diagnosis were found to use ‘maladaptive coping’ such as encouraging their children to hide their Microtia<sup>57</sup>.

Parents should be given the opportunity for an early appointment with a healthcare professional with significant experience and understanding of Microtia, Atresia and conductive deafness. In some cases this may be provided in the first few weeks by a local health care professional. However, most families find it invaluable to have an early meeting with a

multidisciplinary team as occurs with other conditions such as cleft. This team can provide expertise and up-to-date knowledge about the different aspects of these conditions and the related issues, which promotes parental adjustment and coping.

The aim of the multi-disciplinary team is to provide holistic child and family-centred care through regular contact with the professionals involved, so that children and their families are fully informed and supported, and are actively involved in any decision-making process. Providing developmentally appropriate and accurate explanations about surgery options as part of ongoing paediatric care can help better prepare children for possible ear reconstruction<sup>57</sup>. The exact constituent members of the multidisciplinary team who sit in the clinic may vary from centre to centre. The core members of the team should include a reconstructive surgeon, an otologist, an audiologist, a paediatrician with an interest in audiology, a psychologist, a specialist nurse and an anaplastologist (maxillofacial prosthetist). Members of the wider team, in no particular order, include anaesthetists, educational audiologists and teachers of the deaf, craniofacial or orthognathic surgeons, geneticists, nurses, orthodontists, radiologists and speech therapists.

The exact constitution of the team that meets each patient in the clinic may vary between centres but it is essential that the core presence includes a professional who can discuss hearing, assess audiological investigations, describe and indeed prescribe, interventions to aid hearing; and a professional who can describe and prescribe potential interventions for restoration of form including external prosthesis (epithesis), buried prosthesis and autologous reconstruction. The team should also be able to assess the patient's and family's emotional and psychological stressors, and if that person is not a psychologist then there should be a streamlined process for onward referral to an appropriate paediatric psychology service, or adult equivalent.

## **5.2 INITIAL ASSESSMENT**

The team must ensure that appropriate initial investigations have been performed. Consideration should be given to renal ultrasound as it is recognised that there is an increased frequency of structural renal anomalies associated with external ear malformations in some studies<sup>67</sup>. However, other studies report no increased prevalence<sup>68,69</sup>. A CT scan of the patient's head to assess the presence and anatomy of the ossicles is not generally indicated in the early years. Usually this would be undertaken when it might influence decisions regarding interventions. Baseline clinical photographs are helpful. Age-appropriate audiological assessments are important. Onward referral to other healthcare professionals such as geneticists should be streamlined and communication with referring doctors, general practitioners and local audiology teams is essential. The opportunity to spend time with the team psychologist should be offered if available.

As per Newborn Hearing Screening Programme (NHSP) guidance, babies with Microtia and Atresia should be referred directly to the local audiology department performing diagnostic assessment by auditory brainstem response testing (ABR). The standard newborn hearing screen should not be performed. The goal of diagnostic assessment is both to establish the hearing threshold in the unaffected ear and to understand as much as possible about the hearing in the atretic ear. The priority is testing of the cochlea for the ear with Atresia (bone conduction testing). Knowledge about the cochlear function in this ear is essential to determine management and intervention options, and to inform discussions with the family.

The need for masked behavioural assessments in unilateral cases and for babies over 3 months of age means that the initial assessment by ABR is a crucial time to determine information about the true hearing levels of the affected ear, and in particular the cochlear function. For bilateral cases the goal is to demonstrate the level of cochlear function in both ears, without the requirement for masking, before 3 months of age. Clear guidance on the diagnostic testing that should be performed is outlined in the early assessment guidance published through NHSP and should be followed.

Babies should be seen within 4 weeks of referral as per standards for newborn hearing screening.

Most cases of congenital ear malformations are obvious at birth. It should be noted that patients with stenotic ear canals could escape diagnosis and be mistakenly managed as having persistent middle ear effusions. Any narrow canal with non-visualised tympanic membrane and persistent tympanometry findings of immobile tympanic membranes should be treated with caution. In smaller centres where there is less experience with permanent childhood hearing loss and Atresia, advice on testing and management should be sought. Ideally this should be done ahead of the appointment so that the family can be fully informed and have all their questions answered. The ABR traces obtained and their interpretation should be reviewed by an external reviewer within an established local peer review programme or external expert.

The cause of the Microtia and Atresia should also be investigated. It is acknowledged that the physical manifestations of Microtia and Atresia mean that the initial identification will be with neonatologists and paediatricians. It is important that the presence of any associated syndrome is investigated as early as possible. The option for investigations and professional contacts arranged before hospital discharge will depend on the presence of other medical conditions, the birth hospital and the professionals involved. Guidelines for aetiological investigation of children with permanent hearing loss have been produced by the British Association of Audiovestibular Physicians (BAAP) and British Association of Paediatricians in Audiology (BAPA) (see Additional References). Any investigations should be coordinated by the local lead for aetiological investigations for permanent childhood hearing impairment (PCHI). These may involve working with a different group of professionals than for sensorineural hearing loss, but it is important that the investigations are coordinated by one professional and used as part of the management plan for hearing and reconstruction interventions. The local audiology team performing the audiological assessment are responsible for notifying the local lead for aetiological

investigations for PCHI. The lead for aetiological investigations is responsible for liaising with the other professionals involved and coordinating investigations, sharing of information and informing the management plan.

### **5.3 CONTINUING ASSESSMENT AND FOLLOW UP**

Following initial consultation and information exchange there is a need for regular follow up of children particularly when conductive hearing impairment is an issue. In most cases this can be offered as shared care between local audiology teams and the central multidisciplinary Microtia team. Some families may prefer to have intermittent follow up annually or biannually; this fosters good relationships between professionals and the family and allows the team to discuss possible ear reconstruction in an age-appropriate manner. It also allows the family to ask about new developments in the field and if necessary to discuss (in liaison with local audiology services) psychosocial, educational and hearing issues which may arise. Being provided with this information and support promotes parental adjustment and acceptance and enables them to communicate positive themes of normalisation and reassurance, which children mirror in their own responses and adjustment<sup>57</sup>. Schools and educational settings can provide valuable information about a child's development and progress, and should be sought with parental permission.

Many parents and families find it useful to be provided with verbal explanations using pre- and post-operative clinical photographs of patients treated by that team. In addition, written information to take home can be helpful and signposting to reliable online resources invaluable. It is during these early consultations that a family may benefit from time spent with a specialist liaison nurse. They will be able to help families make sense of the information they are being given and will be easily contactable for advice and support in between appointments. It is good practice to advise families of active voluntary sector support groups such as Microtia UK, which has a website ([www.microtiauk.org](http://www.microtiauk.org)) and associated support group (Microtia Mingle UK) on Facebook, and the Changing Faces charity ([www.changingfaces.org.uk](http://www.changingfaces.org.uk)). Changing Faces has some good resources and



publications showing the importance of social and emotional support for people living with visible difference including helping them to respond to the reactions of others and educating people around them about visible difference. Those with associated hearing impairment may be directed to the National Deaf Children's Society ([www.ndcs.org.uk](http://www.ndcs.org.uk)) and those with craniofacial microsomia to the Goldenhar Association. Families should be provided with information specifically about unilateral hearing loss and its impact, and information about support groups and information resources. These support groups and charities can help children and their families to deal with difference, and promote confidence, resilience and positive self-image. Healthcare providers, therefore, play a key role in family adjustment by clarifying misinformation, providing education around treatment options, modelling acceptance, screening for psychosocial concerns, providing resources and connecting families to support networks<sup>57</sup>.

As noted earlier, it should be recognised that a unilateral Atresia and hearing loss may have an impact on a child's development and that the child's progress and hearing should be closely monitored. Each case should be managed on an individual basis. Factors additional to the Microtia, and those yet to be identified, may increase the functional impact from a unilateral hearing loss. In cases of bilateral Atresia with normal cochlear function, the impact on speech and language development is clear. This scenario should be managed as for any bilateral permanent childhood hearing impairment. The need for an intervention using a bone conduction hearing device (BCHD) and other options should be discussed and agreed with the family as soon as possible, with the introduction of local support services as per local pathways.

## **SECTION 6 - CONSIDERING EAR RECONSTRUCTION**

### **6.1 THE DECISION MAKING PROCESS**

The age at which patients, families and professionals consider intervention to restore form varies. A number of factors need to be taken into consideration. This includes the concerns and wishes of the child, the physical size of the child and their face, and the type of reconstruction being considered. The

options for restoration of form include: doing nothing, reconstruction with costal cartilage, buried synthetic frameworks and external silicone prosthetics. Children become aware of facial differences relatively early although this does not always mean they are psychologically distressed by their own facial differences. A study from the 1970's showed that pre-schoolers aged between 3½ and 6 years old could distinguish differences in facial attractiveness<sup>70</sup>, and more recently a study found that 2-3 day old infants prefer to look at attractive rather than unattractive Caucasian faces<sup>71</sup>.

Some parents and professionals have argued for early intervention as a prophylactic measure to prevent teasing and psychological distress<sup>65</sup>. Certainly, early provision of external silicone prosthetics can be considered. Alternatively the use of buried prosthetic porous polyethylene frameworks can be provided as young as 3 years old. The counterargument to early prophylactic intervention is that one is not treating the child but instead treating the family. Most young children are not concerned about their facial appearance, certainly infants and preschool age children do not typically engage in much social comparison<sup>72</sup>, and experience from Microtia clinics tells us that most children below the age of 8-10 years old when asked are simply not interested in any intervention.

Social comparison begins in primary school and increases at least into adolescence, with comparisons to peers and those portrayed in the media, as well as the influence of comments from peers and parents, transforming beliefs and stereotypes about attractiveness into self-evaluation concerning appearance<sup>72</sup>. There is, therefore, a strong argument for waiting until the child is old enough, with the necessary level of abstract conceptual thinking and emotional maturity, to enable informed decision making. Certainly deferring surgery beyond age 8 lends itself to reconstruction based on costal cartilage as the ribs are of insufficient volume until that age. A study that interviewed adolescents with craniofacial microsomia found that the children were glad that their parents had allowed them to be involved in the decision making process around surgery<sup>66</sup>. They also did not feel that concern regarding current or potential bullying to be a good reason to have surgery at

a young age, and that promoting self acceptance and resilience to be far more important.

## **6.2 RECONSTRUCTION OPTIONS**

The choice to undergo an intervention to restore the appearance of the external ear is an individual one. Some adults who have never had surgery are happy with their appearance. The MDT should enable patients and families to understand their choice either to have intervention or no intervention. Teams should offer a choice of the various forms of intervention available. The pros and cons of each form of intervention should be discussed in an objective manner with the aid of models and pre and post intervention photographs. The advantages and disadvantages of each type of intervention should be discussed to allow patients and their families to make an objective choice as to which form of reconstruction is best suited to their needs and desires. Health professionals should help the family make sense of the options available and take the time to ensure the child is suitably informed through simple discussion and picture presentations.

### **Reconstruction with autologous rib cartilage**

Reconstruction with autologous rib cartilage has for many years been regarded as the gold standard in Microtia reconstruction (see section 2.2). The first stage is performed when the child is 9-10 years old. In this operation rib cartilage is harvested<sup>7,8,9,10</sup> and a detailed three dimensional ear framework is constructed. The pieces are held together with fine wire sutures. A skin flap is raised at the site of the ear whilst the lobule is rotated into its normal position. The framework is placed in the pocket and gentle suction allows the definition of the ear to become visible. At the end of this stage the patient will have a normal looking ear which is adherent to the head. The second stage is performed after 6 months in which the ear is elevated from the head and a sulcus is created.

Short term complications such as skin loss and cartilage exposure can occur but are usually amenable to treatment<sup>73</sup>. Most ears reconstructed with rib cartilage are durable for the rest of the patient's life. The cartilage is

revascularised and responds to trauma by standard wound healing mechanism. Long term follow up by Brent showed no softening or shrinkage of the cartilage. However others have witnessed long term erosion of the cartilage framework in a proportion of patients. There is a small risk of extrusion of the stainless steel wires used to hold the framework together. These can be removed fairly easily.

Advocates believe that other than the results that can be achieved, the other main advantage is that this treatment has minimal long-term complications compared to prosthetic ears and thus over the years the cost incurred will be less than treatment with a prosthetic ear.

Autologous ear reconstruction is a technically demanding operation with a significant learning curve. Surgeons who choose to perform this surgery must specialise in this field and should be dedicated to ear reconstruction. Poor results are very difficult to rectify and the best outcome for the patient is when reconstruction is performed in virgin tissue. Such surgery should be performed in designated national centres with a multidisciplinary approach.

### **Buried prosthesis**

High density porous polyethylene (HDPPE) is the most established buried prosthesis for ear reconstruction. This is a biocompatible material which is supplied in two individual pieces that are moulded together by the surgeon to form an ear framework. The HDPPE construct is covered by a flap of tissue taken from under the scalp (temporo-parietal fascial flap) which is then covered by a skin graft. The patient's own vascularised tissue completely covers and integrates into the implant. HDPPE reconstruction has been popularized by an American surgeon, John Reinisch who began using this technique in the 1990s. Dr Reinisch and colleagues have performed around 2,000 cases. HDPPE based reconstruction has distinct advantages. The surgery can be performed at a young age (3-5 years old), although the reconstructed ear has to be made bigger to account for the growth of the contra-lateral ear. Recovery is quicker than in autologous reconstruction as no rib cartilage is harvested. The main disadvantage of HDPPE framework is the

risk of prosthesis exposure during healing. Small early exposures may be managed by further surgery but larger exposures and infections may require that the construct be replaced. Depending on surgical experience exposure rates between 5% and 13%, and fracture rates between 1% and 5% are reported. HDPPE reconstruction is usually performed as a single stage procedure. Covering the implant with a fascial flap and skin graft is technically demanding and expertise is required to attain good results. Surgeons who perform this type of reconstruction should be doing so on a regular basis.

### **External ear prosthesis**

External ear prosthesis, made from silicone, can be customised to achieve an excellent match in colour and shape. It is possible to attach the prosthesis with adhesives around the microtic ear at a very young age. Clinical opinion is divided on the benefits of such an approach. Some argue that early provision of a prosthesis may help the child and parents accept prosthetic camouflage as an integral part of body image and sense of self, at a stage of development where energy is focused on attainments, pursuit of interests and where social interactions are key. Some also feel that the advantage is of most benefit when the child starts school or nursery where they are suddenly mixing with lots of other children, as the child is less self-conscious of their prosthesis. Even if the child is not concerned with the appearance of their ear they might be subject to staring, teasing and comments by other children which could affect their confidence and self esteem. Early visits to the hospital for non-invasive/non painful prosthetic treatment can often set the tone for future treatment options. Alternatively, instituting a regime of camouflage at an early age may indeed set the tone that the microtic ear needs to be camouflaged and indeed might be something associated with negative feelings.

A more solid attachment requires placement of titanium osseointegrated implants into the skull which is the preferred choice for long-term prostheses; the prosthesis is then attached onto the side of the head with either magnets or a bar and clip system. This type of attachment usually requires total ablation of natural ear tissue. If that choice is being made at an early age it should be made clear to the family that this process impairs others forms of

ear reconstruction and can diminish the potential outcome by depriving the patient of a soft natural ear lobule formed from the microtic remnant.

Advantages of the external prosthesis are that the surgery involved is relatively simple and the ear can be made to look very realistic. There are several disadvantages with long-term external ear prostheses for Microtia. The prosthesis needs to be repeatedly removed and replaced and the pin sites cleaned. Children may lose the device when engaged in sports or rigorous activities. It may be hard to hide the seam where the prosthesis is next to the normal skin, especially as skin colour changes throughout the year. A significant percentage of patients, who have osseointegrated screws, have pin site problems such as infection and over-granulation which require that the prosthesis is not worn until the problem has resolved. Finally, the prosthesis will need periodic replacement as it ages which adds to the long-term requirements of this procedure

### **Tissue Engineered Ears**

Many will be familiar with the iconic image of an ear on the back of a mouse and might believe that the science of growing an ear in the lab was 'cracked'. Many scientists have been working on tissue engineering of human cartilage for many years. Significant progress is being made and at the time of writing 6 children with Microtia have benefited from this worldwide. Long term results are not yet known but cautious optimism prevails. This technique is not routinely utilized although there is commercial interest in making it more widely available.

### **Making a Choice**

To date there are no long term outcome studies directly comparing the aesthetic or psychological outcomes of prosthetic, rib cartilage or HDPPE based reconstruction or indeed no reconstruction. The International Society for Auricular Reconstruction (ISAR.cc) has adopted the EarQ<sup>74</sup> outcome measure to facilitate comparative study. In the meantime individual choice must be based on current evidence. In some circumstances patient anatomy or medical issues may allow the team to favour one or other techniques. The

relative experience of the surgical team is relevant, however it is not possible, with current evidence, to state that one or other technique is better.

### **6.3 PERIOPERATIVE CARE**

Any inpatient care offered to individuals for either ear reconstruction or auditory device implantation should be conducted in an age appropriate inpatient facility. All care should be subject to local clinical guidelines for infection control, pain control, patient safety and clinical governance.

A psychologist should be involved at preadmission stage to assess psychological readiness for surgery, including identifying any procedural anxiety that will impact on the surgery itself, and psychosocial issues that may affect treatment adherence post-operatively (see section 9.2). Assessment of readiness for surgery should occur before or at the preadmission clinic. A semi-structured interview format should be used to establish current emotional state, family functioning, mental and physical health history, significant life events, risk factors for poor psychological adjustment post-surgery - including unrealistic expectations, concerns regarding the process of hospital admission, surgery, post-operative pain etc. As well as collating feedback for the medical team, the aim should be to attempt to ascertain if ear reconstruction will contribute to long term psychological well being. Post-operative follow-up should occur following completion of ear reconstruction to assess emotional state, satisfaction with surgical process, and body image issues.

Pre-operative preparation of the patient can also include a ward visit, surgical planning, anaesthetic assessment, and the chance to ask questions or discuss expectations and anxieties with the specialist nurse.

Because of the high preponderance of difficult airways in patients with Treacher Collins Syndrome and patients with craniofacial microsomia, anaesthetic staff should be appropriately trained in difficult airway management and fiberoptic intubation. Post operative care should be in a high dependency, or if necessary, an age appropriate intensive care facility.

Post operative management of patients should involve nurses with appropriate training, surgical staff including the ear reconstruction surgeon and specialist nursing input as required. Implant based reconstructions may be discharged as early as 23 hours. Costal cartilage based reconstructions may require up to one week post-operative inpatient stay for surgical drains and pain management. The average inpatient stay following first stage costal cartilage reconstruction is 4 days. Subsequent stages of the autologous reconstructions can be performed on a 1 night or day case basis as geography allows.

Patients should be followed up regularly wherever possible by the operating surgical team in the early weeks following discharge. If geographical considerations make this difficult then follow up in a more local surgical care or dressing care facility may be appropriate provided there is close communication between relevant professionals.

Prior to reconstructive surgery, it is important that the patient understands the nature of the healing process and its potential impact on their body image. With patients often waiting a long time before the surgery can be performed and with the cosmetic result not fully visible for a number of weeks due to bruising and swelling, expectations must be carefully managed. Whilst it can be several weeks before patients feel fully physically recovered from reconstructive surgery, it could be argued that the bigger challenge at this time will be the need to adapt to the look and feel of the new ear. Revealing the newly reconstructed ear to the patient for the first time must be done with sensitivity and in a way that allows them some control over how and when it is seen, as well as who will see it. If patients are shown images prior to surgery of other patients that are in the post operative healing phase then this should go some way toward desensitising them before their ear is revealed. Returning to school or work with an unfinished ear can also be a significant source of anxiety for many patients. Talking through this as a scenario in advance and offering advice or a plan for what they might do and say can help them prepare for this.



Patients should always be provided with a telephone point of contact to allow them to contact an appropriately trained member of the clinical team after discharge for advice and to facilitate early review as required. In some centres this may be a specialist nurse but other team members can provide this role.

#### **6.4 TRAINING IN EAR RECONSTRUCTION**

The outcomes of all surgical procedures improve with surgical team knowledge, experience and practice. No surgical team is immune from this learning curve. Surgeons gain competencies at different rates and some are adept and perhaps 'suited' to different skill sets. Surgical training aims to provide surgeons with the surgical skills they need for independent practice, and the learning skills they need for continuing acquisition of skills throughout a career. The Intercollegiate Surgical Curriculum Programme is an online platform which facilitates the documentation of surgical competencies during training.

Ear reconstruction is a curriculum option for senior trainees in reconstructive surgical specialties. Completion of this module should be regarded as mandatory for any surgeon wishing to embark upon a career in ear reconstruction. Any surgeon who has been trained in the era of ISCP and who wishes to undertake ear reconstruction must be able to demonstrate competence. Competence can be defined as procedure-based assessments signed at level 4 (competent to perform the procedure independently and to deal with any complication that may arise) by a recognised expert in the field.

Whilst ISCP-defined competencies are mandatory they do not ensure satisfactory aesthetic outcomes and ears with artistic merit. It is highly desirable that surgeons undertaking ear reconstruction have a specific period of training in their final years, or even beyond CCT recognition, devoted to ear reconstruction. They should be able to demonstrate prolonged commitment to the area of endeavour and their training should be endorsed as satisfactory by a surgeon with recognised expertise.

Ear reconstruction centres in the UK need to work together to produce a

template that offers optimal training for the small number of surgeons required to support ear reconstruction surgeons for the UK. This may follow the interface specialty training programmes offered in other areas of reconstructive surgery. Ear reconstruction centres should also consider, when appropriate, the proleptic appointment of surgeons to replace retiring surgeons with a significant period of overlap. Such a system will facilitate mentorship and would go a long way to reducing the risk of unsatisfactory results associated with the early period of a surgeon's ear reconstruction career.

Some have argued that the use of prosthetic materials such as HDPE reduce the learning curve for ear reconstruction. However, much of the learning curve and indeed risk of complications, relates to soft tissue and skin cover. Soft tissue cover for buried prosthetics is often more complicated as it involves the routine use of pedicled fascial flaps.

## **SECTION 7 - INTERVENTION FOR HEARING LOSS ASSOCIATED WITH ATRESIA**

Families should be offered a BCHD (bone conduction hearing device) for all babies and children identified with a unilateral Microtia\*<sup>1</sup> with Atresia. The rationale for this and the options available are outlined in Section 3.

From experience in a number of UK centres, a BCHD on a softband can be safely used typically from 3 months of age\*<sup>2</sup>. It is expected that appropriate up to date information about the current options and rationales can be provided by audiologists performing diagnostic ABR assessments. Local centres with no direct experience of these devices should offer referral to the

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\*<sup>1</sup> Experience in centres offering BCHD to families at this early stage is that families may not take up the offer at this stage. The drivers for acceptance of this intervention include speech and language delay and presence of middle ear effusion in the unaffected ear.

\*<sup>2</sup> The placement of the BCHD may need to be varied and include the forehead in addition to the mastoid bone for ease of use in a very young infant. However evidence is now emerging to suggest that the transfer of sound to the cochlea is not as efficient as previously thought.

nearest specialist centre providing BCHD for further discussion and information and potential trial.

Great care should be taken in the implantation of hearing devices since inappropriate access incisions or implant positioning may compromise ear reconstruction. Implantation should either take place alongside or in very close liaison with an ear reconstruction surgeon from an ear reconstruction centre. Surgery for an implanted device to aid hearing could occur earlier than ear reconstruction. Placement of the device has to take in to account the requirements of ear reconstruction. This is to ensure that any hearing device does not interfere with options for future ear reconstruction. This applies even if the family have not decided on ear reconstruction to enable consent to be given once the child is above the age of consent.

### **7.1 AUDIOLOGY- BASED MANAGEMENT AND INTERVENTION OPTIONS**

The following table summarises the roles and responsibilities at each level of service potentially involved in the management of children with unilateral Atresia.

For those children with bilateral Atresia the case for use of intervention is essential for the development of spoken speech and language skills. Referral to the specialist audiology centre should be carried out as soon as possible in discussion with the family. Many of the principles summarised in the table apply to how services work with families, the child and other services to achieve successful outcomes.

<b>Audiology: Phases of management plan and interventions for unilateral Microtia and Atresia</b>			
<b>Service</b>	<b>Birth/diagnosis</b>	<b>3 months onwards with or without BCHD</b>	<b>Surgical options – BCHD / reconstruction</b>
<b>Local audiology and ENT team</b>	<p>Diagnostic assessment according to national standards.</p> <p>Priority to determine hearing in unaffected ear<sup>*3</sup> and bone conduction levels in Atresia ear as minimum.</p> <p>Provide up to date information on the management of unilateral Atresia.</p> <p>Provide information about parent groups.</p> <p>Provide information about keeping unaffected ear healthy.</p> <p>Discuss use of BCHD on softband for binaural hearing experience.</p> <p>Discuss role of education sensory support services and refer on agreement.</p> <p>Refer to local lead for aetiological investigations for PCHI to ensure coordinated assessments and sharing of information.</p> <p>Refer to specialist audiology team for further advice about BCHD if family interested, or local service not able to provide all the information required by the family.</p> <p>Refer to specialist ear reconstruction team for advice on future surgical BCHD and reconstruction options as requested and as meets needs of family.</p> <p>Named audiologist to act as link for family and other services.</p>	<p>Monitoring of hearing in unaffected ear.</p> <p>Monitoring of progress in speech and language development.</p> <p>Behavioural assessment commences around 7-8 months of age.</p> <p>In cases of persistent middle ear effusions discuss</p> <ul style="list-style-type: none"> <li>§ BTE aid for unaffected ear.</li> <li>§ BCHD on softband</li> <li>§ Liaise with otologist</li> </ul> <p>Monitor hearing in unaffected ear until 5 years of age with reviews every 3-4 months in first 2 years and every 6-9 months until 5 years of age.</p> <p>Refer to community paediatrician if developmental concerns. The child may already be involved because of previously identified co-morbidities, but it is possible that delays will become evident during audiological monitoring and prompt response is required to ensure early intervention.</p> <p>Revisit involvement of education sensory support services if not previously involved at nursery and school age.</p> <p>Support any requests for further information and advice with onward referral to specialist teams as appropriate.</p>	

<sup>\*3</sup> Unaffected ear refers to the ear without the Atresia

<b>Audiology: Phases of management plan and interventions for unilateral Microtia and Atresia</b>			
<b>Service</b>	<b>Birth/diagnosis</b>	<b>3 months onwards with or without BCHD</b>	<b>Surgical options – BCHD / reconstruction</b>
	Issue with family-owned Microtia plan.		
<b>Specialist audiology team<sup>*4</sup></b>	<p>Advice and information to the family about current and future BCHD options.</p> <p>Named audiologist to act as link for family and other services.</p>	<p>Advice and information.</p> <p>Opportunity to meet with other families.</p> <p>Audiological assessment (including functional listening ability).</p> <p>Liaison with local audiology team re provision of BCHD on softband.</p> <p>Agreed plan with family and local audiology to monitor progress. Possible that some monitoring could be done locally<sup>*5</sup>.</p> <p>Refer for information or consideration for implanted BCHD at parent request or if issues with use of band at any stage.</p> <p>Named audiologist to act as link for family and other services.</p>	
<b>Specialist surgical centre<sup>*6</sup></b>			<p>Advice and information about implanted BCHD and reconstruction options. Opportunity to meet other families/young people.</p> <p>BCHD surgery should ideally be performed by the reconstruction team<sup>*7</sup>.</p> <p>Named link professional to act as link for family and involved local and specialist services.</p> <p>Audiological management and monitoring of the device can be done between local, specialist audiology and specialist surgical ear teams as best fits the needs of the family.</p>

<sup>\*4</sup> Where an audiology service provides BCHDs the local and specialist otology/ audiology team will be the same.

<sup>\*5</sup> This agreed plan will be dependent on location, individual families and knowledge and experience within the different audiology teams involved with the family. Not all follow-up support for the BCHD will need to be done by the specialist team.

<sup>\*6</sup> Refers to specialist centre able to perform ear reconstruction and implantable BCHD surgery. This may or may not be the same service as the specialist audiology team.

<sup>\*7</sup> Local arrangements for surgery for a BCHD to be carried out elsewhere can be agreed with full knowledge, advice and agreement with the specialist reconstruction team.

<b>Audiology: Phases of management plan and interventions for unilateral Microtia and Atresia</b>			
<b>Service</b>	<b>Birth/diagnosis</b>	<b>3 months onwards with or without BCHD</b>	<b>Surgical options – BCHD / reconstruction</b>
			Surgery, fitting of device and ongoing maintenance.

## **7.2 HEARING DEVICES**

The review of devices prepared for this document concentrates on, and is influenced by, existing technologies available at the time of writing and those systems most commonly used in the UK. The reader should be aware that other systems, in particular implantable devices, exist and may become more appropriate to this patient group as the evidence and experience base develops, and that the devices are constantly evolving.

A bone conduction hearing device (BCHD) will be the likely device choice in most cases, although a low grade Microtia with an ear canal stenosis rather than Atresia, may mean that an air conduction hearing aid (including CROS / BICROS system) fitting may be possible.

### **Bilateral Atresia and access to speech**

Cases of bilateral Atresia should be managed as per any bilateral permanent hearing loss. The only option for intervention to ensure access to sound for spoken speech and language development is the use of a bone conduction hearing device. This should be explained and offered at diagnosis without delay.

It is recognised that one device is sufficient for acquisition of spoken language in cases of bilateral canal Atresia. However, best practice is to offer bilateral devices to promote binaural hearing.

### **Unilateral Atresia and binaural hearing**

In the case of unilateral Atresia, sound will not be able to reach a working cochlea. Stimulating a working cochlea as early as possible using a BCHD maximises the potential for future interventions. A goal is also to achieve as close to binaural hearing as possible.

Transcranial attenuation is greater in young infants than adults and decreases throughout maturation. It is predicted that infants have at least 10-30 dB greater transcranial attenuation to bone-conduction stimuli compared to adults<sup>75</sup>. Therefore, using a BCHD when there is a unilateral profound loss

would be less effective for infants compared to adults, as the vibrations produced would be severely attenuated as they passed to the better hearing ear. However, when there is unilateral conductive hearing loss, and the purpose of amplification is to target the ipsilateral cochlea, infants and young children will have much less routing of the signal to the contralateral ear, and a much more binaural experience than adults.

It is recognised that families may not take up options of BCHD at the early stages following diagnosis of unilateral canal Atresia. A trial of a BCHD for unilateral canal Atresia should be available to families at any time and the potential advantages for future development and listening skills explained. This should be done in the context of neural plasticity and the potential for greater benefit from intervention, if that intervention occurs early.

### **Bone conduction devices**

Most current bone conduction hearing devices (BCHDs) use digital processing of sound, transmit sounds through the skin and can be attached to a soft band that wraps around the head, or attached to a headband (often called a 'hard-band'). Newer devices can also be attached to the mastoid via a specially designed adhesive pad. Limitations include attenuation of the signal as it passes through the skin with potential under amplification of high frequency sounds. This can be up to 15dB for high frequencies<sup>76</sup> and should be considered particularly when fitting the pre-lingual child. To try to minimise this attenuation transducers press firmly onto the skin. One commonly reported feature of BCHDs is that the tight headband can be uncomfortable to wear and/or cause headaches and sore skin/pressure points.

BCHDs are mainly used with children who are too young for surgery or who may grow out of their hearing problems, or for adults who wish to experience bone conducted sound before deciding whether to go forward with surgery for an implanted device.

Research and specific recommendations for using BCHDs are limited. For example, although it is widely believed that BCHDs can be positioned on any



convenient position on the child's skull (e.g. Cochlear, 2011) there is growing evidence that bone-conduction sensitivity is poorer when the transducer is placed on the forehead compared to the mastoid<sup>77, 78</sup>.

## **IMPLANTABLE HEARING AIDS AND CANAL RECONSTRUCTION**

In the design of implantable hearing aids there have been 2 basic strategies used to stimulate the cochlea. The first strategy, as used in bone anchored hearing devices (e.g. BAHA<sup>R</sup>, Pronto Pro<sup>R</sup>), being to vibrate the cranium and thereby the cochlea which lies within the temporal bone of the skull. The second strategy is to produce mechanical vibrations that directly stimulate a middle ear structure causing it to vibrate (e.g. Middle Ear Implant, MEI).

### **Bone Anchored Hearing Devices**

Bone anchored hearing devices (BAHA) are an established treatment for conductive hearing loss, or single sided deafness, in children<sup>79</sup>. Traditionally, the external audio-processor has been clipped onto a percutaneous abutment attached to a titanium implant osseointegrated into the skull bone (e.g. BAHA Connec<sup>R</sup>, Ponto<sup>R</sup>). This has proved to be an effective intervention in children, but is associated with a risk of implant loss due to trauma or failure of osseointegration, and recurrent skin inflammation<sup>80,81,82</sup>. Complication rates for BAHA in children can be high, and are predominantly associated with soft tissue infection and inflammation over the long term. Kraai et al reported soft tissue reactions in 89% BAHA cases in children, with implant removal or revision surgery required in 37% cases<sup>81</sup>. For this reason, passive transcutaneous devices, (e.g. Sophono<sup>R</sup>, BAHA Attract<sup>R</sup>). These involve placement of a subcutaneous magnet that transduces vibration from an externally worn processor. Whilst these devices avoid the soft tissue reactions that are seen in percutaneous devices, the skin leads to attenuation of sound, and auditory outcomes that are generally reported to be inferior. Furthermore, complications such as skin flap necrosis have been reported.

Active transcutaneous bone conduction devices (eg. Bonebridge<sup>R</sup>, MED-EL, Innsbruck) provide greater auditory benefit, whilst avoiding soft tissue reactions. However, they require pre-operative CT scanning to plan an

appropriate site, and more involved surgery in areas close to future pinna reconstruction. MEIs for Atresia cases should be undertaken by experienced otologists in centres with significant experience of the management of patients with Atresia, in close collaboration with reconstructive surgeons.

Placement of the percutaneous bone anchored hearing device in relation to the microtic ear is of critical importance, as siting may compromise any subsequent autologous reconstruction<sup>83</sup>. Therefore, it is mandatory that the position for a percutaneous bone anchored hearing device is determined by, or in close discussion with, an ear reconstruction service.

The introduction of surgical techniques and percutaneous implants that do not require soft tissue reduction have the advantage of preserving soft tissue planes, minimising the impact on future autologous ear reconstruction. However, it remains imperative to ensure that the position of the percutaneous bone anchored hearing device does not adversely affect subsequent pinna reconstruction. To further negate the risk of skin infections and improve cosmetic acceptance, passive transcutaneous bone conduction hearing aid systems have been developed and are now licensed for use in children.

### **Middle Ear Implants**

Middle ear implants (MEI) (e.g. Vibrant Soundbridge<sup>R</sup> (VSB), MED-EL, Innsbruck) have been demonstrated to be an option for hearing rehabilitation in children with canal Atresia, and can be used in conjunction with autologous ear reconstruction<sup>84</sup>. MEIs have an internal and external component as for transcutaneous bone anchored hearing devices, but the internal component produces mechanical vibrations that directly stimulate a middle ear structure, causing it to vibrate. In the Vibrant Soundbridge<sup>R</sup> the mechanical vibrations are produced by an electromagnetic element called the floating mass transducer (FMT), which is attached to the stapes or incus, or placed against the round window membrane, dependent upon the anatomy of the middle ear<sup>84,85</sup>. Therefore, high resolution CT imaging of the temporal bone is mandatory when considering a MEI and consent must include the risk of loss of hearing, vertigo and facial nerve injury. MEIs should also be considered

with caution when the middle ear is significantly dysplastic and poorly aerated. When siting the incision for MEI surgery prior to planned autologous ear reconstruction, the same consideration must be taken as for bone anchored hearing devices, to ensure the necessary preservation of the tissue layers around the microtic ear<sup>85</sup>. In response to the introduction and likely evolution of transcutaneous bone anchored hearing device systems, and the fact that the majority of Atresia cases have a purely conductive hearing loss<sup>84</sup>, the future role of MEIs in Atresia cases remains to be determined. However, MEIs are a proven option for hearing rehabilitation in patients with canal Atresia<sup>84-88</sup>. MEIs for Atresia cases should be undertaken by experienced otologists in centres with significant experience of the management of patients with Atresia, in close collaboration with reconstructive surgeons.

### **External Ear Canal Reconstruction (Canalplasty)**

Canalplasty remains an option in children with canal stenosis, but caution must be taken when considering this procedure in severe stenosis or Atresia, as the outcome is often unsatisfactory<sup>89</sup>. The high risks of a chronically discharging external auditory canal, re-stenosis, residual conductive hearing loss and potential inner ear hearing loss and facial weakness mean even experienced surgeons would recommend MEIs instead in all but the most straightforward stenoses (intact stapes, well aerated middle ear, Jahrsdoerfer score >7-8/10)<sup>86,89</sup>. The subsequent use of a conventional air conduction hearing aid can also prove difficult in reconstructed ear canals<sup>84,86</sup>.

## **SECTION 8 – SERVICE MODEL AND CARE STRUCTURE**

### **8.1 CURRENT UK SERVICE MODEL**

The current structure of care for Microtia patients varies significantly across the UK. In Scotland, a nationally designated and funded centre exists within NHS Lothian. This provides ear reconstruction services for 5.3 million people for both congenital Microtia and acquired ear loss. (Referrals are accepted from elsewhere in the UK subject to funding). The service offers inpatient surgical care within the children's hospital and adult head and neck hospital, according to age. Regular clinics are conducted both within Lothian and, on

the basis of the hub and spoke model, in Glasgow, Dundee and Inverness. The service is contracted to perform reconstruction for 10 congenital cases per year and 10 acquired cases per year for the Scottish population. Thus, a minimum of 20 cases are performed annually. In England, a number of centres have a dedicated ear reconstruction service. Currently centres exist in London and others exist or are being developed in cities such as Manchester, Liverpool, Birmingham and Newcastle. These centres are not nationally designated or nationally funded but instead have developed along historical lines and rely on individual funding on a named patient basis. On occasion local health commissioners have been reluctant to fund reconstruction for Microtia and have dismissed such surgery as “cosmetic”.

In theory, there are very few barriers to stop other centres emerging and offering complex ear reconstruction. Indeed, in the recent past such centres have been proposed and some have provided care for a transient period. Concern exists within the clinical community that such services may not be able to offer optimal levels of care or, even if they do offer optimal care, they may not be constructed in a robust, sustainable and auditable manner. There is a clear consensus that parallels exist between the current provision of Microtia care and the previous relatively ad hoc provision of cleft care within the UK prior to the C.S.A.G. report<sup>1,90</sup>.

Evidence would suggest that the results of ear reconstruction surgery are highly operator-dependant and that occasional operator results may be sub-optimal, to the significant detriment of patients with Microtia.

Thus, it would seem logical and desirable that across the UK, units are designated as ear reconstruction centres.

## **8.2 RECOMMENDED SERVICE MODEL**

### **Designated centres**

Designated centres should be defined by their capacity to provide optimal care of Microtia / Atresia both in the out-patient environment and with regard to all aspects of in-patient care. Designated centres should include staff with the appropriate training and experience. Centres should offer regular Microtia

/ Atresia clinics, at least once a month. All appropriate surgical equipment should be available within the facility.

The discussion regarding numbers is always difficult. However, there is increasing evidence that this is an important factor. Prior to setting up the designated service in Scotland there was anecdotal evidence of poor standards for ear reconstruction. However, since the service has been nationally designated in Scotland, it is clear that consistently high quality and improved care has been delivered. This has been shown in subsequent service evaluations looking at patient and carer feedback<sup>91</sup>.

Surgeons must be competent to perform total and partial ear reconstructions for all congenital and acquired aetiologies. They should be able to evidence training in ear reconstruction in their training portfolio. A recent study looking at the number of hip arthroplasties performed by a single surgeon, assessing results and complications, concluded that they should perform more than 35 per annum<sup>92</sup>. Similar studies in paediatric cholecystectomy, transurethral prostate resection, shoulder arthroplasty and paediatric otoplasty have all demonstrated a similar link between the annual numbers of cases a surgeon is performing and outcome<sup>93-97</sup>.

The soon to be published re-examination of Cleft care in the UK is near to completion and will show highly significant improvements in care following designation of units and high case volume per surgeon. There have been improvements in speech, facial appearance and dento-alveolar growth. Currently the median surgeon volume is 78 primary cleft operations per year compared to pre-designation when 75 surgeons performed small numbers with only one surgeon performing over 35 surgeries per annum. Perhaps unsurprisingly the hub and spoke model has improved access with less patient travel for hospital care<sup>98</sup>.

The evidence certainly backs the consensus within the ear reconstruction community that there should be a minimum number of cases performed by a single surgeon, and that a minimum number of cases per year should be

expected to be seen in clinics. Surgeons performing Microtia reconstruction should perform a minimum of 20 ear reconstructions per year, of which 10 should be total reconstructions for Microtia. All cases of Microtia reconstruction should be assessed and presented, with the results being discussed in an open annual audit forum in order investigate this further.

### **“Hub and spoke” model**

An aesthetically, psychologically and functionally acceptable outcome should be a reasonable expectation for every patient born or arriving into the UK with congenital Microtia. The treatment pathway should be patient-focused. This can best be delivered by a carefully co-ordinated, networked MDT working in close collaboration between a designated centre and local care providers. Each patient needs a tailored plan accessing different levels of input at different time points as close to home as possible.

The design of services should be on a hub and spoke model. That is to say that for every patient there would be a designated centre (the hub) offering highly specialised aspects of care, while peripheral hospitals (the spokes) would offer specific services at a more local level. Certain services should be offered in the designated centre, whereas other services could be provided within the designated centre or in a peripheral unit. Where services are available centrally and locally, informed patient choice should dictate where the care takes place.

Services that could be offered in peripheral hospitals include certain ENT procedures, audiology and hearing aid care, bone anchored prosthesis surgery, maxillo-facial surgery and so on. However, other services such as surgical ear reconstruction with rib cartilage or a buried prosthetic implant should not be provided by any unit other than the main central hub. Central Microtia teams should offer outreach clinics in hub facilities. This not only provides as much care close to home as possible but also facilitates regular communication with the broader team including local audiology services.

## Multi- Disciplinary Team (MDT)

The table below shows a loose timetable of involvement for the core members of the MDT.

Microtia and Atresia – Core Disciplines				
age	Audiology	Otology	Plastic Surgery	Psychology
0	early assessment support and advice, discuss options	support and advice, discuss options	support and advice, discuss options	support and advice re. appearance differences  Psychological assessment and therapy as necessary
1	ongoing review and discuss potential options			
2	ongoing review and discussion of options	ongoing review	ongoing review	
3				
4		ongoing review	ongoing review	
5				
6			discuss options with child	
7				
8			ongoing review (2 yearly) +/- intervention	ongoing review
9				
10		?intervention		
11				
12				
13		?suitability for surgery		
14				
15				
16+	review as required	review as required	review as required	review as required

### **8.3 FUNDING STRUCTURE**

Funding for Microtia reconstruction should be centralised into designated services following the Scottish model. Units should serve a population base of between 5 and 10 million. Failure to achieve this, results in a perpetuation of the post-code lottery of funding that currently exists. Patients should be allowed to elect to change their central designated units. Patients eligible for treatment whose families are unable to afford the travel and accommodation costs involved should receive financial support.

## **SECTION 9 - OUTCOME MEASURES**

Measures to look at all surgical outcomes (hearing intervention and reconstruction), hearing and psychological outcomes to allow local and national audit should be a matter of routine. The use of validated self-reported outcome measures should also be administered as routine. Each local and specialist service should maintain a database allowing audit of the options taken by families for intervention and reconstruction.

### **9.1 OUTCOME MEASURES FOR HEARING AND HEARING**

#### **INTERVENTION**

As there are few standard outcome measures used universally across Audiology services, measures should be sought to cover the following areas.

- Ø Monitoring of auditory development and listening abilities (including effort in listening) in varying environments (e.g. quiet, noise, classroom etc) using validated questionnaires
- Ø Gathering information from wider multidisciplinary team as required (e.g. speech, language and communication development, developmental progress, academic achievement etc)
- Ø Device acceptability to child and parent

Use of such measures will enable the progress of individual children to be monitored and in particular to develop the knowledge base of the impact of



unilateral Atresia. Additionally it allows for comparison pre- and post-interventions.

Efforts should be made to ascertain this information where possible, and in liaison with local teams and colleagues. Where known measures exist these should be used.

## **.9.2 PSYCHOLOGICAL OUTCOME MEASURES**

Should the child/young person/adult decide to pursue autologous ear reconstruction, it is useful to obtain a combination of qualitative and quantitative information both pre- and post-surgery. As well as qualitative data, standardised measures should ideally be included at preadmission to assess current psychological state, as well as providing information for assessing change post-surgery. Where patient reported outcome measures (PROMs) are available, they should include psychosocial items. An example of a validated patient reported outcome measure developed in Edinburgh in collaboration with other centres is illustrated in appendix 1.

Other examples of useful measures are the Pi-ed<sup>99</sup> (Paediatric Index of Emotional Distress) and the SWA-M (Satisfaction with Appearance Scale-Microtia) for children and young people, and the HADS<sup>100</sup> (Hospital Anxiety and Depression Scale) and DAS-24<sup>101</sup> (Derriford Appearance Scale 24) for use with adults. The Pi-ed is a standardised measure for 8-16 year olds, validated in hospital and community samples and is effectively a paediatric version of the Hospital Anxiety and Depression Scale (HADS), and both are used to measure the levels of emotional distress a person is experiencing. The SWA-M originated as the Satisfaction with Appearance Scale© developed by the Psychology Special Interest Group of the Cleft-Palate Cranio-facial Society of Great Britain, and has been adapted by the Scottish National Ear Reconstruction Service for use with Microtia (SWA-M). The Satisfaction with Appearance Scale is not standardised but has been used in a number of published trials and is considered a valuable measure of change. It is careful not to imply that a patient should be dissatisfied with their appearance, is accessible and brief and therefore appropriate for use in

clinics. The DAS 24 is a psychometric scale designed to be used to measure adjustment to problems of visible difference and disfigurement.

It is recognised that there is a lack of quantitative and qualitative research looking at the psychological impact of Microtia, Atresia and ear reconstruction, and a need for longitudinal studies to further inform psychological assessments. Use of measures pre- and post-operatively provides valuable data for audit and research as well as identifying quickly any psychological difficulties that can potentially be supported.

### **9.3 RECONSTRUCTIVE SURGERY OUTCOMES: MICROTIA QUALITY STANDARDS**

The following outcome measures describe markers of high-quality care that should contribute to improving the effectiveness, safety and experience of care in Microtia patients undergoing reconstructive surgery. The principles underlying high quality outcomes in Microtia reconstruction are twofold. Firstly, to ensure patients have a positive experience of care, and secondly, to treat and care for Microtia patients in a safe environment and protect them from avoidable harm.

Expected levels of achievement for these developmental quality outcome measures are not specified. Quality standards provide a framework for continuous improvement in quality, and therefore aspirational achievement levels are likely to be 100%. However, it is recognized that this may not always be appropriate in practice taking account of patient safety, patient choice and clinical judgement. Therefore, desired levels of achievement should be defined locally.

Units should strive to promote a service that is fair, personal and responsive to patient's needs and wishes. In order to deliver a high quality reconstructive service, it is essential to ensure equality of access and quality of services. Data collection to assess this should be routine practice and subject to transparent national review. Transparency will provide evidence of service effectiveness to commissioners, and also serve to assure the public that the

service, wherever provided, is safe and of an acceptable quality. Such national review would also allow performance to be benchmarked in a standardised manner, identify variance, and support quality improvement initiatives to address any variation, or unacceptable outcomes, in line with the new NHS outcomes framework.

Below we have highlighted for each quality measure where the data should be routinely collected and reviewed. Where local data collection is recommended, individual units should aim to generate comparisons of performance over time.

**Quality statement 1: timeliness of care**

- a) Patients considering the option of reconstruction surgery should be seen by their locality ear reconstructive team within 12 weeks of referral.
- b) Referral and consultations should be offered regardless of whether the patient is of a suitable minimal age for reconstructive surgery, to allow for information giving to patients and their families.
- c) Patients should be informed of the anticipated schedule of each stage of reconstruction and the estimated timeframe to completion of ear reconstruction. This information can help patients in planning their surgery at a time when it will be least disruptive to school/work.
- d) Once listed for reconstructive surgery, waiting time to first surgery should follow in a timely fashion that, where possible, accommodates for patients' school/work commitments. Further surgery and outpatient appointments should also adhere to the above.
- e) Should patients wish referral to a different reconstruction centre, communication links should be in place to allow for expedited referral and consultation.

**Data source:**

- a), b), c), and d): Local data collection
- e): National data collection.

**Equality and diversity considerations:**

Should patients wish to change their reconstruction centre this should not impact on the timeliness or the quality of care. The referring unit should make

information regarding all aspects of the MDT care plan available at the time of referral in order to facilitate this.

**Quality statement 2: access to reconstructive services**

a) Reconstructive surgery should be offered to patients with Microtia irrespective of older age, geographical location and socioeconomic status, unless significant co-morbidity precludes it.

**Data sources:**

a): Local data collection.

**Definitions:**

People should receive an age-independent assessment of co-morbidity that includes performance status to determine the presence of significant co-morbidity. All areas in the UK should be assigned a reconstruction centre for referral of patients.

**Equality and diversity considerations:**

Ear reconstruction surgery should be based on clinical need and fitness for treatment rather than age. Treatment and care of all patients with Microtia should take into account patients' needs and preferences.

**Quality statement 3: support and aftercare**

a) Patients having ear reconstruction surgery for Microtia are offered personalized information and support, including a written follow-up care plan and details of how to contact a named healthcare professional.

b) The details of such support and the care plan should be shared with the patient's named general practitioner.

c) The named healthcare contact should be a member of the reconstructive team. Their role is to co-ordinate reconstructive care and to provide continuity of care and support. They should be easily accessible to patients, be able to offer referral to psychological services if required, and liaise with the reconstructive team and other members of the MDT.

**Data source:**

a), b), and c): Local data collection.

**Definitions:**

Personalised information and support should include:

- Details of the named healthcare professionals and how to contact them.
- Dates of any follow-up appointments or planned future surgery.
- Explanations of incidence and symptoms of post-operative complications, and who to contact if they occur.
- Practical information about how to care for their reconstructed ear including information on how to clean the ear, when they can start sport, return to work/school, and when they can go swimming.
- Where to find further sources of information and support.

**Equality and diversity considerations:**

All information about treatment and care should be personalised and tailored to the individual needs of the patient. The information provided should be in an appropriate format for the patient's age. It should also be accessible to patients with additional needs such as physical, sensory or learning disabilities, and to people who do not speak or read English.

**Quality statement 4: care delivery**

- a) Patients should be satisfied with the delivery of both outpatient care and hospital care.
- b) Patients should be adequately informed about how their care will be delivered and satisfied that this was met.
- c) The use of patient reported experience measures to assess the above should be standard practice. Local audit should seek to identify areas to improve patient experience based feedback.

**Data source:**

a), b), and c): Local data collection.

**Quality statement 5: surgical outcome**

- a) Operative results should be documented with pre and post-operative photographs for all patients undergoing reconstructive surgery. This is recommended after each surgery, and must occur in all patients after the completion of reconstructive surgery.

- b) Patients should be satisfied with the aesthetic outcome of their reconstructive surgery.
- c) Reconstructive surgery should aim to improve patients' self-confidence and Microtia-specific behavioural issues.
- d) The use of patient reported outcome measures (PROMs) to assess the above should be standard practice, and results subject to local and national audit. . An example of a validated patient reported outcome measure developed in Edinburgh in collaboration with other centres is included in appendix 1.

**Data source:**

- a), b), and c): Local data collection.
- d): Local and National data.

**Definitions:**

Patient reported outcome measures (PROMs) should be collected in a standardised manner across the UK. The measure should be validated and specific to Microtia. It should include information on aesthetic outcome and specific psychosocial behaviours associated with Microtia. Units should aim to complete such measures pre and post-operatively.

**Quality statement 6: safety**

- a) All surgical processes should be subject to local clinical governance standards and policies.
- b) Data on operative complications should be collected freely by each reconstructive unit. This information should be submitted centrally and reviewed annually amongst units. The information should be made available to service providers, commissioners and patients.
- c) Units providing reconstructive surgery for Microtia should provide a safe peer-reviewed service with a low incidence of intra-operative pneumothorax and an acceptable rate of post-operative complications.

**Data source:**

- a), and b): Local data collection.
- c): National data.

**Definitions:**

Operative complications to be collected should include:

- Pneumothorax
- Haematoma
- Infection
- Extrusion
- Exposure
- Loss of graft

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## Appendix 1

### Ear Reconstruction Pre-operative Patient Questionnaire.

*Thank you for taking time to complete this questionnaire. Below are questions relating to your ear. Please answer as best as possible, if you do not understand any questions please leave blank.*

Age: \_\_\_\_\_ Date of birth: \_\_\_\_\_ Gender: M / F (circle)

Reason ear reconstruction required: (e.g. Microtia/Trauma) \_\_\_\_\_

Right ear/Left ear or Bilateral: \_\_\_\_\_

1. How much do you agree with the following statements regarding the ear that you are seeing the surgeon about? (tick)

	Strongly agree	Agree	Neither	Disagree	Strongly disagree
I often hide my ear with my hair or a hat.					
I am anxious about attending a hairdresser due to my ear.					
I hide my ear when having a photograph taken.					
I avoid looking at my ear in the mirror.					
I feel self-conscious about my ear.					

2. How much do you agree with the following statements regarding the appearance of the ear that you are seeing the surgeon about?

	Strongly agree	Agree	Neither	Disagree	Strongly disagree
I am satisfied with the appearance of my ear.					
I think my ear is similar to my other ear.					
I am satisfied with the size.					
I am satisfied with the shape.					
I can wear glasses/sunglasses behind my ear.					

Date completed: \_\_\_\_\_

Thank you for taking the time to fill out this questionnaire.  
Appendix 2

**Ear Reconstruction Post-operative Patient Questionnaire.**

Thank you for taking time to complete this questionnaire. Below are questions relating to your ear and surgery. Please answer as best as possible. If you do not understand any questions please leave blank.

Age: \_\_\_\_\_ Date of birth: \_\_\_\_\_ Gender: M / F (circle)

Reason ear reconstruction required: (e.g. Microtia/Trauma) \_\_\_\_\_  
\_\_\_\_\_

Right ear/Left ear or Bilateral: \_\_\_\_\_

**PART 1**

1. Since having ear reconstruction surgery, how much do you agree with the following? (tick)

	Strongly agree	Agree	Neither	Disagree	Strongly disagree
I often hide my new ear with my hair or a hat.					
I feel anxious about attending a hairdresser due to my ear.					
I hide my new ear when having a photograph taken.					
I avoid looking at my new ear in the mirror.					
I feel self-conscious about my new ear.					

2. Since having ear reconstruction surgery, how much do you agree with the following? (tick)

	Strongly agree	Agree	Neither	Disagree	Strongly disagree
I am satisfied with the appearance of my new ear.					
I think my new ear is similar to my other ear.					
I am satisfied with the size.					
I am satisfied with the shape.					
I can wear glasses/sunglasses behind my new ear.					

I have trouble around the area of my chest scar.					
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3. How much do you agree with the following regarding the **events** surrounding your ear surgery? (*tick*)

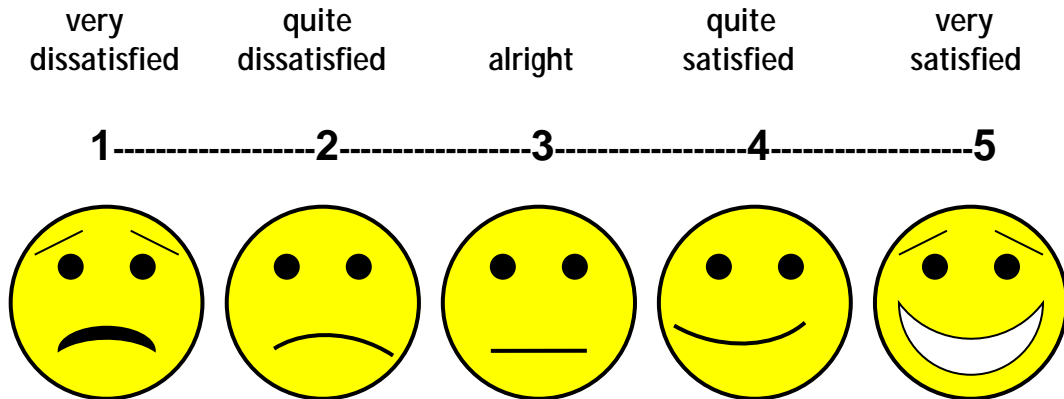
	Strongly agree	Agree	Neither	Disagree	Strongly disagree
The surgeon was good at explaining how surgery would be.					
I got enough pain relief after surgery.					
My new ear looks like the surgeon explained it would.					
It has been explained well how to take care of my new ear.					
I am satisfied with the care I received.					

4. If you had to choose the management of your ear again, how much do you agree with the following? (*tick*)

	Strongly agree	Agree	Neither	Disagree	Strongly disagree
I would have an ear made from rib again.					
I would prefer to have a prosthetic ear fitted.					
I would prefer to do nothing about my ear.					

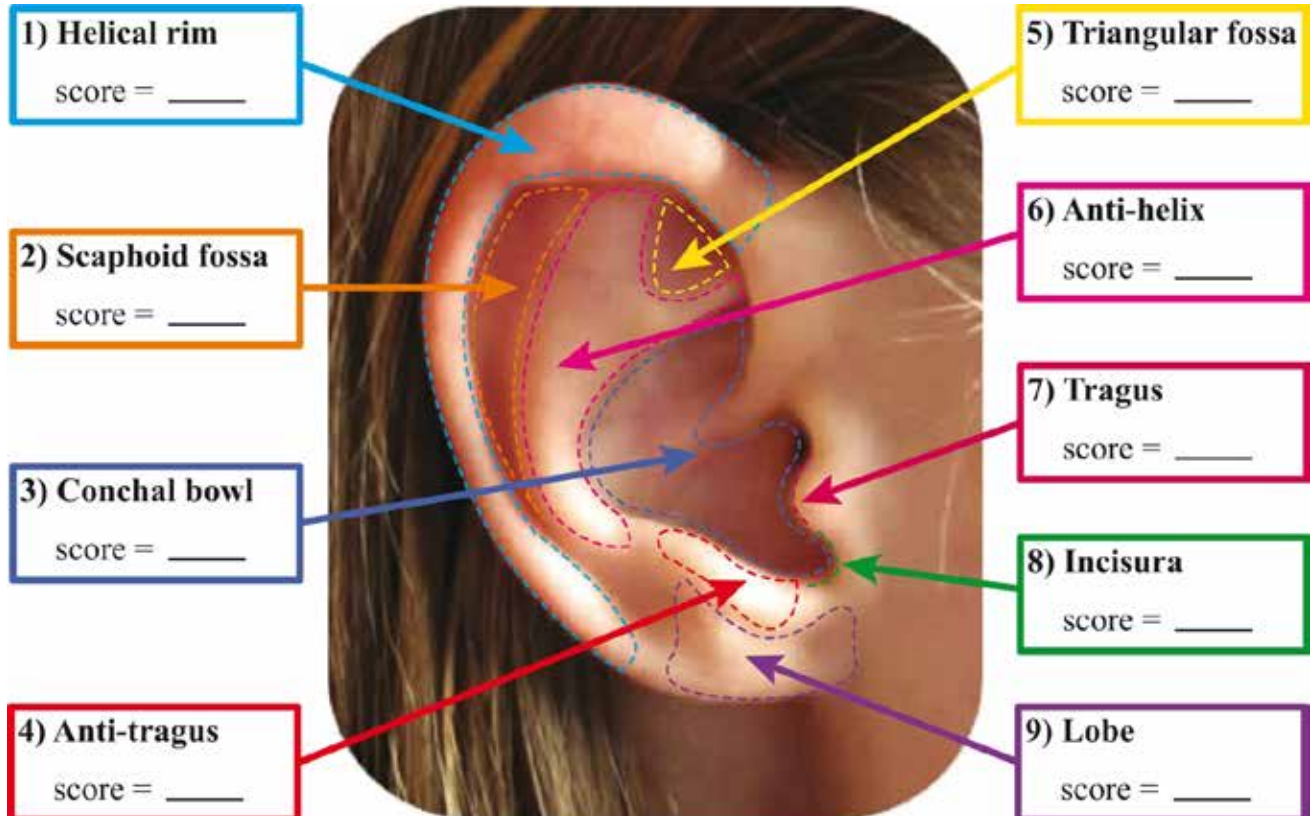
## PART 2

The following questions are also related to **appearance**, but in more detail. We would like you to answer by giving a score from 1 to 5, using the scale below:



### Section A:

We've marked out 9 key areas of a 'normal' ear below. We'd like you to find them on your reconstructed ear and give them a score using the scale above.



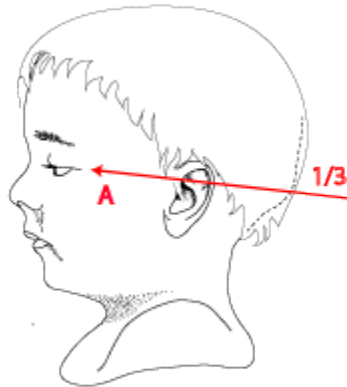
**Section B:**

How satisfied are you with the following (*again please use the scale above*):

10) The size of your ear? (*Big or small*) score = \_\_\_\_\_

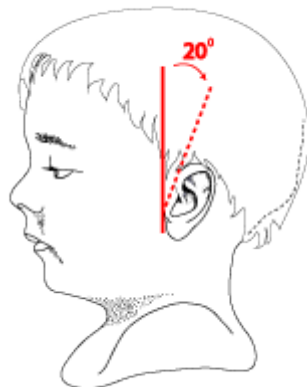
11) The projection of your ear? (*How much it sticks out*) score = \_\_\_\_\_

12) The position of your ear? (*Too high or low, see Picture 1*) score = \_\_\_\_\_



Picture 1.

13) The rotation of your ear? (*How much your ear tilts*) score = \_\_\_\_\_



Picture 2.

14) The skin covering your ear? (*quality, colour, hair*) score = \_\_\_\_\_

15) The scars around your ear and scalp? score = \_\_\_\_\_

Date completed: \_\_\_\_\_

Thank you for taking the time to fill out this questionnaire.